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RCE  
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PTO/SB/30 (09-08)  
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# Request for Continued Examination (RCE) Transmittal

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|                        |                            |
|------------------------|----------------------------|
| Application Number     | 09/004,034                 |
| Filing Date            | January 7, 1998            |
| First Named Inventor   | Brian John Cragun          |
| Art Unit               | 3622 Confirmation No. 9754 |
| Examiner Name          | Melanie A. Kemper          |
| Attorney Docket Number | IBM/33B                    |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s) Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)  
Communication  
Substitute Form PTO-1449 (1 page)
- iv. ☒ Other  
10 US Patent References  
1 Foreign Patent Reference  
Reply Postcard

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. 23-3000
- i. ☐ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 770.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                   |                      |                                   |         |
|-------------------|----------------------|-----------------------------------|---------|
| Name (Print/Type) | Scott A. Stinebruner | Registration No. (Attorney/Agent) | 38,323  |
| Signature         |                      | Date                              | 6-25-04 |

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

|                   |                                      |      |         |
|-------------------|--------------------------------------|------|---------|
| Name (Print/Type) | Scott A. Stinebruner (R. No. 38,323) | Date | 6-25-04 |
| Signature         |                                      |      |         |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IBM/33B  
Confirmation No. 9754

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian John Cragun et al.      Art Unit: 3622  
Serial No.: 09/004,034      Examiner: Melanie A. Kemper  
Filed: January 7, 1998      Atty. Docket No.: IBM/33B  
For: AUTOMATIC SALES PROMOTION SELECTION SYSTEM AND METHOD

COMMUNICATION

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This paper, and the accompanying Request for Continued Examination, are being submitted herewith in response to the Notice of Allowance for the above-identified application, dated June 10, 2004. The purpose for the Request for Continued Examination is to obtain consideration by the Examiner of the art cited in the Information Disclosure Statement enclosed herewith.

If the Examiner has any questions regarding this communication, or which might otherwise further this case onto allowance, the Examiner is respectfully requested to contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,

6-25-04

Date

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